

WOODLAND HILLS TAX AND ESTATE PLANNING COUNCIL, INC.

**PRUDENT INVESTING IN PROBATE,
CONSERVATORSHIP, GUARDIANSHIP,
AND TRUST PROCEEDINGS:**

STATUTORY GUIDELINES AND PRACTICAL APPLICATIONS

Sample Portfolio and Account



Branch office
600 West Broadway
Suite 2100
San Diego, CA 921013356

Financial Advisor
[Redacted]
[Redacted]

UBS Client Review

As of January 26, 2024

Report Prepared for: Sample Reports

Account Number	Account Name	Type
XX XXXXX	Account Name	Account Type
Risk profile:	Moderate	
Return Objective:	Current Income and Capital Appreciation	

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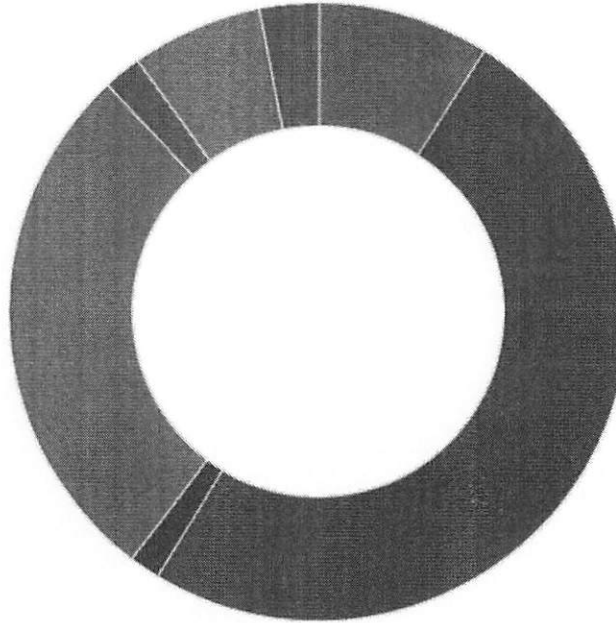
Asset Allocation Review

as of January 26, 2024

Total Value: \$1,217,742.08

XX XXXXX • Account Name • Account Type
Prepared for: Sample Reports
Risk profile: Moderate
Return Objective: Current Income and Capital Appreciation

- Cash 9.01%
- US Fixed Income 49.64%
- International Fixed Income 1.58%
- US Equity 27.86%
- Global Equity 1.96%
- International Equity 6.86%
- Balanced 3.09%



Accrued interest, if any, has been included in the total market value.



Asset Allocation Review

as of January 26, 2024 (continued)

Summary of Asset Allocation

XX XXXXX • Account Name • Account Type
 Prepared for: Sample Reports
 Risk profile: Moderate
 Return Objective: Current Income and Capital Appreciation

	Market value (\$)	% of asset classification	% of Portfolio
Cash	109,717.84		9.01
Cash	109,717.84	100.00	9.01
US	109,717.84	100.00	9.01
US Cash	109,717.84	100.00	9.01
UBS FDIC INSURED DEPOSIT PROGRAM	13,284.43	12.11	1.09
UBS SELECT TREASURY PREFERRED FUND	98,970.77	90.20	8.13
USD CASH	-2,537.36	-2.31	-0.21
Fixed Income	623,774.44		51.22
US	604,527.92	96.91	49.64
Government	40,123.92	6.43	3.30
Long	40,123.92	6.43	3.30
GNR 2023-182 E 06.0000 DUE 06/20/51 FACTOR 0.997647450000	40,123.92	6.43	3.29
Municipals	236,509.93	37.91	19.42
Short	72,519.84	11.63	5.96
CHINO HILLS CA CMNTY FAC ASSUR RV BE/R/ 4.000 090125 DTD 081320	30,960.33	4.96	2.54
SOUTHGATE REC & PK DIST RV BE/R/ 4.000 100126 DTD 072820	41,559.51	6.66	3.41
Intermediate	66,729.74	10.70	5.48
CALIFORNIA ST BE/R/ 4.000 090133 DTD 042816	15,501.77	2.49	1.27
CALIFORNIA ST BE/R/ 4.000 120133 DTD 032714	25,161.78	4.03	2.07
FOLSOM CORDOVA CA UNI SC ASSUR BE/R/ 4.000 100131 DTD 073119	26,066.19	4.18	2.14
Long	97,260.35	15.58	7.98
BEAUMONT UNIFIED S/D CAL SR F BE/R/ 4.000 080134 DTD 063020	26,688.11	4.28	2.19
EASTERN MUN WTR DIST FIN RV BE/R/ 4.000 070134 DTD 091416	15,410.37	2.47	1.27
NUVEEN CA HI YD MUN BD I	55,161.87	8.84	4.53
Corporate IG Credit	209,907.72	33.65	17.24
Short	169,907.18	27.24	13.95
AMERICAN TOWER CORP B/E 5.000% 021524 DTD081913 FC021514 CALL@MW+35BP	20,437.42	3.28	1.68
CELANESE US HLDGS LLC 06.050% 031525 DTD071422 FC091522 CALL@MW+45BP	20,550.71	3.29	1.69

Accrued Interest, if any, has been included in the total market value.



Asset Allocation Review

as of January 26, 2024 (continued)

XX XXXXX • Account Name • Account Type
 Prepared for: Sample Reports
 Risk profile: Moderate
 Return Objective: Current Income and Capital Appreciation

	Market value (\$)	% of asset classification	% of Portfolio
Fixed Income			
US			
Corporate IG Credit			
Short			
CITIGROUP INC B/E 04.300% 112026 DTD112014 FC052015	19,760.27	3.17	1.62
GOLDMAN SACHS GROUP INC 03.000% 031524 DTD031522 FC091522 NTS B/E	25,184.67	4.04	2.07
GOLDMAN SACHS GROUP INC. 03.500% 111626 DTD111616 CALL@MW+20BP B/E	19,394.11	3.11	1.59
RAYTHEON TECHNOLOGIES 03.200% 031524 DTD091520 FC031521 CALL@MW+15BP	20,169.09	3.23	1.66
US BANCORP MED TERM NTS 03.100% 042726 DTD042616 FC102716 B/E	24,099.10	3.86	1.98
WILLIAMS PARTNERS LP B/E 04.300% 030424 DTD030414 CALL@MW+25 BP	20,311.82	3.26	1.67
Long	40,000.54	6.41	3.29
METLIFE INC B/E 05.875% 091566 DTD032218 FC091518 FIX-TO-FLOAT	20,148.17	3.23	1.65
MORGAN STANLEY B/E 05.875% 031570 DTD091520 FC031521	19,852.37	3.18	1.63
Corporate High Yield	77,265.53	12.39	6.34
Corporate High Yield	77,265.53	12.39	6.34
BRANDYWINEGLOBAL - HIGH YIELD FUND CLASS I	42,563.03	6.82	3.50
INVESCO EXCH TRD SLF IDX FD TR INVSCO BLSH 26	34,702.50	5.56	2.85
Preferreds	40,720.82	6.53	3.34
Preferreds	40,720.82	6.53	3.34
BANK OF AMER CORP B/E 06.250% 092949 DTD090514 FC030515 FIX-TO-FLT	20,403.78	3.27	1.68
JPMORGAN CHASE & CO B/E 06.125% 122949 DTD031014 FC103014	20,317.04	3.26	1.67
International	19,246.52	3.09	1.58
Developed Markets	19,246.52	3.09	1.58
Developed Markets	19,246.52	3.09	1.58
SUMITOMO MITSUI FINL GRP 3.446% 011127 DTD011217 FC071117 NTS B/E	19,246.52	3.09	1.58
Equity	446,639.71		36.68
US	339,246.66	75.96	27.86
Large Cap	189,901.33	42.52	15.59
Growth	135,137.81	30.26	11.09

Accrued interest, if any, has been included in the total market value.



Asset Allocation Review

as of January 26, 2024 (continued)

XX XXXXX • Account Name • Account Type
 Prepared for Sample Reports
 Risk profile: Moderate
 Return Objective: Current Income and Capital Appreciation

	Market value (\$)	% of asset classification	% of Portfolio
Equity	446,639.71		36.68
US	339,246.66	75.96	27.86
Large Cap	189,901.33	42.52	15.59
Growth	135,137.81	30.26	11.09
ALPHABET INC CL C	15,379.00	3.44	1.26
FIDELITY ADVISOR EQUITY GROWTH FUND CLASS I	60,271.59	13.49	4.95
GENL ELEC CO	18,628.98	4.17	1.53
ISHARES BIOTECHNOLOGY ETF	16,783.40	3.76	1.38
SALESFORCE, INC.	24,074.84	5.39	1.98
Value	54,763.52	12.26	4.50
CVS HEALTH CORP	10,950.00	2.45	0.90
NEUBERGER BERMAN LARGE CAP VALUE FUND INSTITUTIONAL	43,813.52	9.81	3.60
Mid Cap	85,489.90	19.14	7.02
Growth	53,221.14	11.92	4.37
PRINCIPAL MIDCAP FUND CLASS I	53,221.14	11.92	4.37
Value	32,268.76	7.22	2.65
CITIZENS FINANCIAL GROUP INC	9,741.10	2.18	0.80
CONAGRA BRANDS, INC.	9,271.06	2.08	0.76
STANLEY BLACK & DECKER INC COM	13,256.60	2.97	1.09
Small Cap	63,855.43	14.30	5.25
Core	3,771.14	0.85	0.32
STEM INC	3,771.14	0.84	0.31
Value	60,084.29	13.45	4.93
LEVI STRAUSS & CO CL A	10,606.75	2.37	0.87
NEWELL BRANDS INC	7,316.98	1.64	0.60
PACER US SMALL CAP CASH COWS 100 ETF	33,115.68	7.41	2.72
WHIRLPOOL CORP	9,044.88	2.03	0.74
Global	23,861.43	5.34	1.96

Accrued interest, if any, has been included in the total market value.



Asset Allocation Review

as of January 26, 2024 (continued)

XX XXXXX • Account Name • Account Type
 Prepared for: Sample Reports
 Risk profile: Moderate
 Return Objective: Current Income and Capital Appreciation

	Market value (\$)	% of asset classification	% of Portfolio
Equity			
Global	23,861.43	5.34	1.96
Global	23,861.43	5.34	1.96
Growth	23,861.43	5.34	1.96
FIRST TRUST NASDAQ CYBERSEC ETF	23,861.43	5.34	1.96
International	83,531.62	18.70	6.86
Developed Markets	83,531.62	18.70	6.86
Growth	35,252.05	7.89	2.90
VANGUARD INTERNATIONAL GROWTH FUND ADMIRAL	35,252.05	7.89	2.89
Value	48,279.57	10.81	3.96
MEDTRONIC PLC	12,461.76	2.79	1.02
VANGUARD INTERNATIONAL VALUE FUND	35,817.81	8.02	2.94
Commodities	0.00		0.00
Non-Traditional	0.00		0.00
Other	37,610.09		3.09
Balanced	37,610.09	100.00	3.09
Balanced	37,610.09	100.00	3.09
Balanced	37,610.09	100.00	3.09
THORNBURG INCOME BUILDER CL I	37,610.09	100.00	3.09
Total Portfolio	\$1,217,742.08		100%

Balanced mutual funds and Insurance & Annuity products are allocated in the 'Other' category

Accrued interest, if any, has been included in the total market value.

<input type="checkbox"/> CONSERVATORSHIP	<input type="checkbox"/> GUARDIANSHIP OF	CASE NUMBER:
(Name) <u>YANA HERNANDEZ SPECIAL NEEDS TRUST</u>		<u>SP 137747</u>
<input type="checkbox"/> Conservatee	<input type="checkbox"/> Minor	

SUMMARY OF ACCOUNT—STANDARD AND SIMPLIFIED ACCOUNTS

Seventh (Check if final.) and Final Account (Check if interim.) Account Current

Account number ("First," etc.)

November 1, 2021 through September 30, 2023

Opening date of account

Closing date of account

CHARGES*

	Property on Hand at Beginning of Account Period, consisting of:		
1a	Cash Assets	\$ 19,869.68	
1b	Non-Cash Assets (carry value)	111,618.47	
1c	Total Property on Hand at Beginning of Account Period (add 1a and 1b)		\$ 131,488.15
2	Additional Property Received During Period of Account	— Schedule	0
3	Receipts During Period of Account	— Schedule A	38,876.26
4	Gains on Sales During Period of Account	— Schedule B	24,864.09
5	Other Charges (describe):	— Schedule	0
6	Net Income From Trade or Business During Period of Account	— Schedule	0
7	TOTAL CHARGES (add 1c, 2, 3, 4, 5, and 6)		\$ 195,228.50

CREDITS*

8	Disbursements During Period of Account	— Schedule C	\$ 16,180.60
9	Losses on Sales During Period of Account	— Schedule D	10,680.91
10	Distributions to Conservatee or Ward	— Schedule	0
11	Other Credits (describe): Distributions	— Schedule	14,311.96
12	Net Loss From Trade or Business During Period of Account	— Schedule	0
	Property on Hand at End of Account Period		— Schedule E, consisting of:
13a	Cash Assets	\$ 16,211.52	
13b	Non-Cash Assets (carry value)	137,843.51	
13c	Total Property on Hand at End of Account Period (add 13a and 13b)		154,055.03
14	TOTAL CREDITS (add 8, 9, 10, 11, 12, and 13c)		\$ 195,228.50

* (Enter "0" for all categories of charges or credits for which you have no entries. Do not include schedules for these categories, but do not relabel or redesignate the schedules that are included.)

SUMMARY OF ACCOUNT

Hernandez Special Needs Trust, Case No. BP 09/11/11
Accounting Period of 11/01/2021 to 09/30/2023

CHARGES

Property on Hand at Beginning of Account Period - Schedule P	\$131,488.15
Receipts - Schedule A	\$38,876.26
Gains on Sales - Schedule B	\$24,864.09
TOTAL CHARGES	<u>\$195,228.50</u>

CREDITS

Disbursements - Schedule C	\$16,180.60
Distributions - Schedule DIST	\$14,311.96
Losses on Sales - Schedule D	\$10,680.91
Property on Hand at Carry Value - Schedule E	\$154,055.03
TOTAL CREDITS	<u>\$195,228.50</u>

Estimated Market Value of Assets - Schedule E	\$161,359.07
Changes in the Form of Assets - Schedule F	

SCHEDULE P - PROPERTY ON HAND AT BEGINNING OF ACCOUNT PERIOD

Pablo Hernandez Special Needs Trust, Case No. [REDACTED]
At 11/01/2021

		<u>Carry Value</u>	<u>Est. Mkt. Value</u>
CASH AND BANK ACCOUNTS			
Citizens Business Bank P.O. Box 3938 Ontario, CA 91761	Personal Interest Acct. #x0525 *	16,230.61	16,230.61
	* Month end statement is dated 10/29/21		
TD Ameritrade 2598 Fortune Way Ste G Vista, CA 92081	Cash in Brokerage Acct. #x3305	2,792.85	2,792.85
True Link Financial P.O. Box 581 San Francisco, CA 94104	Prepaid Debit Card #x9882	<u>846.22</u>	<u>846.22</u>
TOTAL CASH AND BANK ACCOUNTS		\$19,869.69	\$19,869.69

INVESTMENT ACCOUNTS

TD Ameritrade Account #x3305 Consisting of:

42.000 Shs. Apple Inc		\$5,158.02	\$8,291.60
49.000 Shs. Consumer Staples Select Sector SPDR® Fund		\$3,525.06	\$3,491.25
72.000 Shs. Energy Select Sector SPDR® Fund		\$1,998.95	\$4,137.84
414.000 Shs. Financial Select Sector SPDR Fund		\$10,713.65	\$16,667.64
50.000 Shs. Health Care Select Sector SPDR Fund		\$4,682.73	\$6,691.00
358.000 Shs. Invesco Fundamental High Yield Corporate Bond ETF		\$6,907.22	\$6,956.94
49.000 Shs. Invesco QQQ		\$8,057.67	\$18,919.39
96.000 Shs. iShares Core International Aggregate Bond ETF		\$5,284.54	\$5,267.52
79.000 Shs. iShares Core MSCI Emerging Markets ETF		\$4,748.23	\$4,931.97
126.000 Shs. iShares Edge MSCI USA Momentum Factor ETF		\$13,629.28	\$24,025.68
67.000 Shs. iShares J.P. Morgan USD Emerging Markets Bond ETF		\$7,464.02	\$7,362.63
61.000 Shs. iShares MSCI China ETF		\$5,176.46	\$4,223.64
169.000 Shs. iShares U.S. Treasury Bond ETF		\$4,704.01	\$4,476.81
63.000 Shs. PIMCO Active Bond Exchange-Traded Fund		\$6,853.01	\$6,932.52
290.000 Shs. SPDR Portfolio S&P 500 High Dividend ETF		\$8,894.61	\$11,669.90
298.000 Shs. U.S. Global Jets ETF		\$5,736.19	\$6,621.56
149.000 Shs. Vanguard FTSE Europe Index Fund ETF Shares		<u>\$7,983.42</u>	<u>\$10,267.59</u>

TOTAL INVESTMENT ACCOUNTS		<u>\$111,618.47</u>	<u>\$148,954.49</u>
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TOTAL PROPERTY ON HAND		<u>\$131,488.15</u>	<u>\$168,824.16</u>
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Not intended for tax purposes.

SCHEDULE B - GAINS ON SALES

Mendez Special Needs Trust, Case No. 2019-07118
Accounting Period of 11/01/2021 to 09/30/2023

<u>Asset/Account</u>	<u>Qty.</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Carry Value</u>	<u>Gains (Losses)</u>
TD Ameritrade #3305 → Schwab #2785						
Amazon.com Inc						
TD Ameritrade - 3305	65.000	08/21/2022	10/05/2022	7,564.45	6,755.44	809.01
TD Ameritrade - 3305	10.000	11/16/2022	02/03/2023	1,023.13	998.84	28.49
Charles Schwab #2785	15.000	11/16/2022	09/15/2023	<u>2,162.41</u>	<u>1,494.86</u>	<u>667.45</u>
TOTAL Amazon.com Inc				10,749.99	9,247.04	1,502.95
Apple Inc						
TD Ameritrade - 3305	3.000	04/06/2021	11/24/2021	491.22	368.43	122.79
TD Ameritrade - 3305	3.000	08/21/2022	10/05/2022	428.78	392.76	38.02
TD Ameritrade - 3305	39.000	04/06/2021	10/06/2022	<u>6,574.13</u>	<u>4,789.56</u>	<u>784.54</u>
TOTAL Apple Inc				6,494.13	5,550.78	943.35
Energy Select Sector SPDR® Fund						
TD Ameritrade - 3305	3.000	03/23/2020	11/24/2021	169.37	75.28	94.11
TD Ameritrade - 3305	14.000	03/23/2020	03/16/2022	1,083.80	351.23	732.57
TD Ameritrade - 3305	1.000	07/30/2020	04/01/2022	77.12	37.09	40.03
TD Ameritrade - 3305	39.000	03/23/2020	04/01/2022	3,007.65	978.43	2,029.22
TD Ameritrade - 3305	8.000	07/30/2020	08/21/2022	472.13	222.54	249.59
TD Ameritrade - 3305	3.000	02/09/2022	02/03/2023	261.88	210.80	51.08
TD Ameritrade - 3305	9.000	07/30/2020	02/03/2023	<u>785.87</u>	<u>333.80</u>	<u>452.07</u>
TOTAL Energy Select Sector SPDR® Fund				5,857.80	2,208.25	3,649.55
Financial Select Sector SPDR Fund						
TD Ameritrade - 3305	24.000	11/13/2018	11/24/2021	958.58	642.80	318.78
TD Ameritrade - 3305	65.000	02/13/2019	04/01/2022	2,535.83	1,708.38	827.27
TD Ameritrade - 3305	57.000	11/13/2018	04/01/2022	2,223.55	1,528.84	698.91
TD Ameritrade - 3305	52.000	03/03/2020	02/03/2023	1,891.19	1,370.72	520.47
TD Ameritrade - 3305	46.000	03/23/2020	02/03/2023	1,872.87	906.20	768.77
TD Ameritrade - 3305	41.000	12/15/2020	02/03/2023	1,481.13	1,168.50	322.63
TD Ameritrade - 3305	84.000	02/09/2022	02/03/2023	3,418.69	3,802.30	(383.61)
TD Ameritrade - 3305	129.000	02/13/2019	02/03/2023	4,691.60	3,360.43	1,301.17
TD Ameritrade - 3305	23.000	03/15/2022	02/03/2023	836.49	846.17	(9.68)
TD Ameritrade - 3305	12.000	08/21/2022	02/03/2023	<u>436.43</u>	<u>370.82</u>	<u>65.61</u>
TOTAL Financial Select Sector SPDR Fund				20,156.24	15,733.04	4,423.20
Health Care Select Sector SPDR Fund						
TD Ameritrade - 3305	2.000	08/20/2019	03/15/2022	260.33	187.31	73.02
TD Ameritrade - 3305	2.000	08/20/2019	04/01/2022	275.55	187.31	88.24
TD Ameritrade - 3305	6.000	08/20/2019	02/03/2023	<u>796.24</u>	<u>581.92</u>	<u>234.32</u>
TOTAL Health Care Select Sector SPDR Fund				1,332.12	936.54	395.58

Not intended for tax purposes.

B-Gains on Sales

SCHEDULE B - GAINS ON SALES

Dyan Hernandez Special Needs Trust, Case No. ~~EP 107145~~
Accounting Period of 11/01/2021 to 09/30/2023

<u>Asset/Account</u>	<u>Qty.</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Carry Value</u>	<u>Gains (Losses)</u>
Invesco QQQ						
TD Ameritrade - 3305	5.000	07/27/2017	04/01/2022	1,833.09	723.30	1,109.79
TD Ameritrade - 3305	22.000	01/23/2018	10/05/2022	6,035.13	3,884.56	2,370.57
TD Ameritrade - 3305	3.000	07/27/2017	10/05/2022	822.87	433.98	388.89
TD Ameritrade - 3305	1.000	02/13/2019	02/03/2023	294.02	172.03	121.99
TD Ameritrade - 3305	6.000	01/23/2018	02/03/2023	1,784.14	899.44	784.70
TOTAL Invesco QQQ				10,749.35	5,993.31	4,756.04
Invesco S&P Ultra Dividend Revenue ETF						
TD Ameritrade - 3305	27.000	10/05/2022	02/03/2023	1,247.64	1,046.52	201.12
Charles Schwab #x2785	198.000	10/05/2022	09/15/2023	7,704.09	7,674.48	29.61
Charles Schwab #x2785	4.000	01/18/2023	09/16/2023	155.64	183.33	(27.69)
TOTAL Invesco S&P Ultra Dividend Revenue ETF				9,107.37	8,904.33	203.04
iShares Edge MSCI USA Momentum Factor ETF						
TD Ameritrade - 3305	22.000	07/27/2017	03/15/2022	3,401.18	2,021.23	1,379.95
TD Ameritrade - 3305	8.000	02/27/2018	04/01/2022	1,021.19	661.69	359.50
TD Ameritrade - 3305	5.000	07/27/2017	04/01/2022	851.00	459.37	391.63
TD Ameritrade - 3305	30.000	02/13/2019	10/05/2022	4,044.51	3,248.70	795.81
TD Ameritrade - 3305	14.000	05/30/2019	10/05/2022	1,887.44	1,579.56	307.88
TD Ameritrade - 3305	7.000	08/08/2019	10/05/2022	943.72	817.68	126.04
TD Ameritrade - 3305	18.000	02/27/2018	10/05/2022	2,428.71	1,985.06	443.65
TD Ameritrade - 3305	1.000	03/03/2020	02/03/2023	142.84	119.58	23.26
TD Ameritrade - 3305	5.000	08/08/2019	02/03/2023	714.20	584.05	130.15
TOTAL iShares Edge MSCI USA Momentum Factor ETF				15,432.79	11,476.92	3,955.87
Lockheed Martin Corp						
TD Ameritrade - 3305	15.000	10/05/2022	11/16/2022	6,978.83	6,029.64	949.19
TOTAL Lockheed Martin Corp				6,978.83	6,029.64	949.19
Microsoft Corp						
TD Ameritrade - 3305	3.000	10/05/2022	02/03/2023	741.04	723.32	17.72
TOTAL Microsoft Corp				741.04	723.32	17.72
SPDR Portfolio S&P 500 High Dividend ETF						
TD Ameritrade - 3305	33.000	09/11/2020	03/15/2022	1,416.37	946.11	472.26
TD Ameritrade - 3305	5.000	09/11/2020	04/01/2022	221.20	149.35	77.85
TD Ameritrade - 3305	138.000	12/15/2020	10/05/2022	5,041.00	4,898.77	404.23
TD Ameritrade - 3305	25.000	11/24/2021	10/05/2022	913.23	1,030.18	(116.95)
TD Ameritrade - 3305	7.000	08/21/2022	10/05/2022	255.70	275.66	(19.96)
TD Ameritrade - 3305	114.000	09/11/2020	10/05/2022	4,164.31	3,268.38	895.93
TOTAL SPDR Portfolio S&P 500 High Dividend ETF				12,013.81	10,300.45	1,713.36

Not intended for tax purposes.

B-Gains on Sales

SCHEDULE B - GAINS ON SALES

Hernandez Special Needs Trust, Case No. 2019-00118
Accounting Period of 11/01/2021 to 09/30/2023

<u>Asset/Account</u>	<u>Qty.</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Carry Value</u>	<u>Gains (Losses)</u>
U.S. Global Jets ETF						
TD Ameritrade - 3305	75.000	12/15/2020	11/24/2021	1,670.23	1,717.90	(47.27)
TD Ameritrade - 3305	223.000	09/11/2020	11/24/2021	4,968.18	4,020.89	945.47
TD Ameritrade - 3305	20.000	03/15/2022	04/01/2022	432.68	368.54	64.14
TD Ameritrade - 3305	287.000	03/15/2022	08/21/2022	4,603.33	5,288.58	(685.25)
TOTAL U.S. Global Jets ETF				11,672.40	11,395.91	277.09
Utilities Select Sector SPDR Fund						
TD Ameritrade - 3305	89.000	10/05/2022	02/03/2023	6,115.04	6,024.40	90.84
TOTAL Utilities Select Sector SPDR Fund				6,115.04	6,024.40	90.84
Vanguard Dividend Appreciation Index Fund ETF Shares						
TD Ameritrade - 3305	8.000	10/05/2022	02/03/2023	1,244.81	1,112.00	132.81
TOTAL Vanguard Dividend Appreciation Index Fund ETF Shares				1,244.81	1,112.00	132.81
Vanguard FTSE Europe Index Fund ETF Shares						
TD Ameritrade - 3305	12.000	11/24/2021	02/09/2022	794.29	818.48	(24.17)
TD Ameritrade - 3305	149.000	07/30/2020	02/09/2022	9,862.39	7,883.42	1,978.97
TOTAL Vanguard FTSE Europe Index Fund ETF Shares				10,656.68	8,801.88	1,854.80
				129,302.30	104,438.21	24,864.09

Not intended for tax purposes.

B-Gains on Sales

SCHEDULE C - DISBURSEMENTS

Ryan Hernandez Special Needs Trust, Case No. [REDACTED]
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Num</u>	<u>Name</u>	<u>Memo</u>	<u>Amount</u>
04-Fees Of Attorney & Fiduciary				
Attorney Fees				
05/24/2022	196	[REDACTED]	Attorney Fees per Court Order dated 4/12/22	<u>3,600.00</u>
Total Attorney Fees				<u>3,600.00</u>
Fiduciary Fee				
05/24/2022	197	[REDACTED]	Trustee Fees per Court Order dated 4/12/22	<u>5,919.00</u>
Total Fiduciary Fee				<u>5,919.00</u>
Total 04-Fees Of Attorney & Fiduciary				<u>9,519.00</u>
05-Administration				
Account Preparation				
12/06/2022	201	[REDACTED]	Inv# 7433 - Format ecotg.sched's for 2/1/20 to 10/31/21	<u>135.00</u>
Total Account Preparation				<u>135.00</u>
Attorney Costs				
05/24/2022	198	[REDACTED]	Photocopies, Postage	<u>38.60</u>
Total Attorney Costs				<u>38.60</u>
Bank Fees				
11/01/2021	T-Link	True Link	Monthly Fee	16.00
12/01/2021	T-Link	True Link	Monthly Fee	10.00
01/01/2022	T-Link	True Link	Monthly Fee	10.00
02/01/2022	T-Link	True Link	Monthly Fee	10.00
03/01/2022	T-Link	True Link	Monthly Fee	10.00
04/01/2022	T-Link	True Link	Monthly Fee	10.00
05/01/2022	T-Link	True Link	Monthly Fee	10.00
06/01/2022	T-Link	True Link	Monthly Fee	10.00
07/01/2022	T-Link	True Link	Monthly Fee	10.00
08/01/2022	T-Link	True Link	Monthly Fee	10.00
09/01/2022	T-Link	True Link	Monthly Fee	10.00
10/01/2022	T-Link	True Link	Monthly Fee	12.00
11/01/2022	T-Link	True Link	Monthly Fee	12.00
12/01/2022	T-Link	True Link	Monthly Fee	12.00
01/01/2023	T-Link	True Link	Monthly Fee	12.00
02/01/2023	T-Link	True Link	Monthly Fee	12.00
03/01/2023	T-Link	True Link	Monthly Fee	12.00
04/01/2023	T-Link	True Link	Monthly Fee	12.00
05/01/2023	T-Link	True Link	Monthly Fee	12.00
06/01/2023	T-Link	True Link	Monthly Fee	12.00
07/01/2023	T-Link	True Link	Monthly Fee	12.00
08/01/2023	T-Link	True Link	Monthly Fee	12.00
09/01/2023	T-Link	True Link	Monthly Fee	<u>12.00</u>
Total Bank Fees				<u>284.00</u>
Bond				
05/24/2022	199	Bond Services Of California, LLC	Bond# 456784 4/21/21 - 4/21/22	11.93
"	"	"	Bond# 456784 4/21/22 - 4/21/23	<u>940.00</u>

Not intended for tax purposes.

C-Disbursements

SCHEDULE C - DISBURSEMENTS

[REDACTED] Hernandez Special Needs Trust, Case No. [REDACTED]
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Num</u>	<u>Name</u>	<u>Memo</u>	<u>Amount</u>
05/24/2022	199	Bond Svcs Of California, LLC (cont.)	eFiling - 4/29/22	<u>20.00</u>
Total Bond				972.83
Court Costs				
12/21/2021	194	Probate Investigations	Case# 20STPB03817	425.00
05/24/2022	196	[REDACTED]	Court Ordered costs	<u>230.00</u>
Total Court Costs				655.00
Delivery Fees				
11/01/2022	200	[REDACTED]	Reimb. FedEx 12/17/21 Statements to Attorney	<u>26.95</u>
Total Delivery Fees				26.95
Income Tax Prep				
05/10/2022	195	[REDACTED] Accountancy Corporation	# 95190 Tax Year 2021	385.00
09/19/2023	205	[REDACTED] Accountancy Corporation	# 95190 Tax Year 2022	<u>358.00</u>
Total Income Tax Prep				741.00
Total 05-Administration				2,823.58
06-Investment Expenses				
Service Fees				
11/04/2021	EFT	Prudent Investors	November	177.04
12/03/2021	EFT	Prudent Investors	December	173.84
01/08/2022	EFT	Prudent Investors	Jan	178.24
02/04/2022	EFT	Prudent Investors	Feb	172.32
03/08/2022	EFT	Prudent Investors	March	168.78
04/07/2022	EFT	Prudent Investors	April	172.80
05/04/2022	EFT	Prudent Investors	May	159.41
06/03/2022	EFT	Prudent Investors	June	160.02
07/07/2022	EFT	Prudent Investors	July	149.57
08/04/2022	EFT	Prudent Investors	August	160.29
09/02/2022	EFT	Prudent Investors	September	154.92
10/07/2022	EFT	Prudent Investors	October	142.46
11/07/2022	EFT	Prudent Investors	November	154.03
12/07/2022	EFT	Prudent Investors	December	161.20
01/08/2023	EFT	Prudent Investors	January	154.30
02/08/2023	EFT	Prudent Investors	February	161.21
03/08/2023	EFT	Prudent Investors	March	167.12
04/12/2023	EFT	Prudent Investors	April	170.42
05/11/2023	EFT	Prudent Investors	May	172.43
06/08/2023	EFT	Prudent Investors	June	170.44
07/07/2023	EFT	Prudent Investors	July	177.13
08/08/2023	EFT	Prudent Investors	Aug	181.43
09/18/2023	EFT	Prudent Investors	Sep	178.84
Total Service Fees				3,838.22
Total 06-Investment Expenses				3,838.22
TOTAL DISBURSEMENTS				16,180.60

Not Intended for tax purposes.

C-Disbursements

SCHEDULE D - LOSSES ON SALES

**Hernandez Special Needs Trust, Case No. ~~SP-107445~~
Accounting Period of 11/01/2021 to 09/30/2023**

<u>Asset/Account</u>	<u>Qty.</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Carry Value</u>	<u>Gain (Loss)</u>
TD Ameritrade #x3305 → Schwab #x2785						
ARK Innovation ETF						
TD Ameritrade - 3305	17.000	08/21/2022	10/05/2022	646.49	631.86	14.63
TD Ameritrade - 3305	88.000	04/01/2022	10/05/2022	<u>3,346.56</u>	<u>6,005.96</u>	<u>(2,659.40)</u>
TOTAL ARK Innovation ETF				3,993.05	6,637.82	(2,644.77)
Consumer Staples Select Sector SPDR® Fund						
TD Ameritrade - 3305	3.000	08/18/2021	03/15/2022	216.18	215.82	0.36
TD Ameritrade - 3305	2.000	08/18/2021	04/01/2022	151.59	143.88	7.71
TD Ameritrade - 3305	4.000	11/24/2021	10/05/2022	271.75	291.31	(19.56)
TD Ameritrade - 3305	44.000	08/18/2021	10/05/2022	<u>2,989.29</u>	<u>3,165.36</u>	<u>(176.07)</u>
TOTAL Consumer Staples Select Sector SPDR® Fund				3,628.81	3,816.37	(187.56)
Invesco Fundamental High Yield Corporate Bond ETF						
TD Ameritrade - 3305	111.000	12/15/2020	03/15/2022	2,024.62	2,141.62	(117.00)
TD Ameritrade - 3305	145.000	12/15/2020	06/21/2022	2,448.97	2,797.62	(348.65)
TD Ameritrade - 3305	159.000	11/24/2021	02/03/2023	2,793.55	3,071.82	(278.27)
TD Ameritrade - 3305	81.000	04/01/2022	02/03/2023	1,423.13	1,487.97	(64.84)
TD Ameritrade - 3305	3.000	10/05/2022	02/03/2023	62.71	49.92	2.79
TD Ameritrade - 3305	34.000	01/18/2023	02/03/2023	597.38	600.85	(3.59)
TD Ameritrade - 3305	102.000	12/15/2020	02/03/2023	<u>1,782.09</u>	<u>1,967.98</u>	<u>(175.89)</u>
TOTAL Invesco Fundamental High Yield Corporate Bond ETF				11,132.43	12,117.88	(985.45)
iShares Core International Aggregate Bond ETF						
TD Ameritrade - 3305	27.000	06/03/2020	03/15/2022	1,421.55	1,493.09	(71.54)
TD Ameritrade - 3305	10.000	11/24/2021	03/15/2022	526.50	551.40	(24.90)
TD Ameritrade - 3305	69.000	12/16/2019	03/15/2022	3,632.86	3,791.45	(158.59)
TD Ameritrade - 3305	37.000	11/24/2021	06/21/2022	1,812.96	2,040.18	(227.22)
Charles Schwab #x2785	11.000	11/24/2021	08/15/2023	<u>539.62</u>	<u>606.54</u>	<u>(66.92)</u>
TOTAL iShares Core International Aggregate Bond ETF				7,933.49	8,482.66	(549.17)
iShares Core MSCI Emerging Markets ETF						
TD Ameritrade - 3305	38.000	12/15/2020	02/08/2022	2,266.30	2,323.70	(57.40)
TD Ameritrade - 3305	9.000	07/30/2020	02/08/2022	538.78	467.73	69.03
TD Ameritrade - 3305	3.000	12/15/2020	04/01/2022	169.10	183.45	(14.35)
TD Ameritrade - 3305	24.000	11/24/2021	06/21/2022	1,166.85	1,466.20	(328.35)
TD Ameritrade - 3305	4.000	03/15/2022	06/21/2022	194.48	211.20	(16.72)
TD Ameritrade - 3305	29.000	12/15/2020	06/21/2022	<u>1,409.94</u>	<u>1,773.36</u>	<u>(363.41)</u>
TOTAL iShares Core MSCI Emerging Markets ETF				5,743.43	6,454.63	(711.20)
iShares J.P. Morgan USD Emerging Markets Bond ETF						
TD Ameritrade - 3305	15.000	06/03/2020	11/24/2021	1,624.64	1,600.69	24.05
TD Ameritrade - 3305	38.000	07/30/2020	11/24/2021	3,698.13	4,041.69	(142.56)

Not Intended for tax purposes.

D-Losses on Sales

SCHEDULE D - LOSSES ON SALES

Hernandez Special Needs Trust, Case No. [REDACTED]
Accounting Period of 11/01/2021 to 09/30/2023

<u>Asset/Account</u>	<u>Qty.</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Carry Value</u>	<u>Gains (Losses)</u>
TD Ameritrade - 3305	16.000	12/16/2019	11/24/2021	1,732.95	1,821.74	(88.79)
TD Ameritrade - 3305	22.000	03/15/2022	08/21/2022	1,878.76	2,098.28	(219.52)
Charles Schwab #x02785	4.000	03/15/2022	09/15/2023	338.73	381.14	(42.41)
TOTAL iShares J.P. Morgan USD Emerging Markets Bond ETF				<u>9,474.21</u>	<u>9,941.44</u>	<u>(467.23)</u>
iShares MSCI China ETF						
TD Ameritrade - 3305	61.000	03/23/2021	11/24/2021	4,184.48	5,178.48	(1,011.99)
TD Ameritrade - 3305	8.000	02/08/2022	04/01/2022	438.43	498.18	(59.73)
TD Ameritrade - 3305	4.000	02/09/2022	08/21/2022	208.74	249.08	(40.34)
TD Ameritrade - 3305	18.000	03/16/2022	10/05/2022	771.84	892.91	(121.07)
TD Ameritrade - 3305	84.000	02/09/2022	10/05/2022	3,600.89	5,230.68	(1,629.69)
TOTAL iShares MSCI China ETF				<u>9,184.28</u>	<u>12,047.28</u>	<u>(2,863.01)</u>
iShares U.S. Treasury Bond ETF						
TD Ameritrade - 3305	36.000	03/16/2020	03/15/2022	913.88	991.80	(78.12)
TD Ameritrade - 3305	113.000	08/03/2020	08/21/2022	2,652.04	3,153.99	(501.95)
TD Ameritrade - 3305	159.000	11/24/2021	02/03/2023	3,708.18	4,188.47	(480.29)
TD Ameritrade - 3305	68.000	04/01/2022	02/03/2023	1,538.42	1,844.06	(105.84)
TD Ameritrade - 3305	5.000	10/05/2022	02/03/2023	118.55	114.45	2.10
TD Ameritrade - 3305	38.000	01/18/2023	02/03/2023	839.14	838.44	0.70
TD Ameritrade - 3305	20.000	06/03/2020	02/03/2023	488.19	598.23	(82.04)
TOTAL iShares U.S. Treasury Bond ETF				<u>10,232.20</u>	<u>11,487.44</u>	<u>(1,255.24)</u>
Lockheed Martin Corp						
Charles Schwab #x02785	10.000	02/03/2023	09/15/2023	4,194.81	4,608.93	(414.12)
TOTAL Lockheed Martin Corp				<u>4,194.81</u>	<u>4,608.93</u>	<u>(414.12)</u>
PIMCO Active Bond Exchange-Traded Fund						
TD Ameritrade - 3305	4.000	12/16/2019	03/16/2022	412.92	432.69	(19.77)
TD Ameritrade - 3305	24.000	12/16/2019	08/21/2022	2,251.39	2,596.17	(344.78)
Charles Schwab #x02785	3.000	12/16/2019	09/15/2023	269.01	324.52	(55.51)
TOTAL PIMCO Active Bond Exchange-Traded Fund				<u>2,933.32</u>	<u>3,353.38</u>	<u>(420.06)</u>
Vanguard FTSE Emerging Markets Index Fund ETF Shares						
Charles Schwab #x02785	109.000	02/03/2023	09/15/2023	4,418.80	4,594.35	(175.55)
TOTAL Vanguard FTSE Emerging Markets Index Fund ETF Shares				<u>4,418.80</u>	<u>4,594.35</u>	<u>(175.55)</u>
Vanguard Short-Term Corporate Bond Index Fund ETF Shares						
Charles Schwab #x02785	5.000	02/03/2023	09/15/2023	378.92	381.20	(4.28)
TOTAL Vanguard Short-Term Corporate Bond Index Fund ETF Shares				<u>378.92</u>	<u>381.20</u>	<u>(4.28)</u>

Not intended for tax purposes.

D-Losses on Sales

SCHEDULE D - LOSSES ON SALES

Hernandez Special Needs Trust, Case No. 18-148
Accounting Period of 11/01/2021 to 09/30/2023

<u>Asset/Account</u>	<u>Qty.</u>	<u>Acquired</u>	<u>Sold</u>	<u>Proceeds</u>	<u>Carry Value</u>	<u>Gain (Losses)</u>
Vanguard Short-Term Treasury Index Fund ETF Shares						
Charles Schwab #02785	6.000	02/03/2023	09/18/2023	345.54	348.78	(3.24)
TOTAL Vanguard Short-Term Treasury Index Fund ETF Shares				345.54	348.78	(3.24)
Adjustment Due to Rounding				0.00	0.03	(0.03)
TOTAL LOSSES ON SALES				64,255.22	74,338.94	(10,680.91)

SCHEDULE E - PROPERTY ON HAND AT END OF ACCOUNTING PERIOD

Hernandez Special Needs Trust, Case No. ~~17-10147~~
At 09/30/2023

	<u>Carry Value</u>	<u>Est. Mkt. Value</u>
CASH AND BANK ACCOUNTS		
Charles Schwab P.O. Box 982600 El Paso, TX 79998	Cash in Brokerage Acct. #x2785 2,745.35	2,745.35
Citizens Business Bank P.O. Box 3938 Ontario, CA 91761	Personal Interest Acct. #x0525 * * Month end statement is dated 9/28/23 13,044.08	13,044.08
True Link Financial P.O. Box 581 San Francisco, CA 94104	Prepaid Debit Card #x8882 422.09	422.09
TOTAL CASH AND BANK ACCOUNTS	\$16,211.52	\$16,211.52
INVESTMENT ACCOUNTS		
Charles Schwab Account #x2785 Consisting of:		
50.000 Shs. Amazon.com Inc (AMZN)	\$4,972.23	\$6,356.00
119.000 Shs. Consumer Staples Select Sector SPDR® Fund (XLP)	\$8,572.76	\$8,188.39
118.000 Shs. Energy Select Sector SPDR® Fund (XLE)	\$8,854.91	\$10,888.02
257.000 Shs. Financial Select Sector SPDR Fund (XLF)	\$8,196.54	\$8,524.69
185.000 Shs. Global X Artificial Intelligence & Technology ETF (AIQ)	\$5,233.65	\$4,978.35
61.000 Shs. Health Care Select Sector SPDR Fund (XLV)	\$6,493.28	\$7,853.14
50.000 Shs. Invesco QQQ (QQQ)	\$13,072.07	\$17,913.50
124.000 Shs. iShares Core International Aggregate Bond ETF (IAGG)	\$6,406.40	\$6,048.72
66.000 Shs. iShares Edge MSCI USA Momentum Factor ETF (MTUM)	\$9,561.03	\$9,220.20
100.000 Shs. iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB)	\$9,258.99	\$8,252.00
28.000 Shs. Microsoft Corp (MSFT)	\$6,634.11	\$8,209.50
90.000 Shs. PIMCO Active Bond Exchange-Traded Fund (BOND)	\$9,131.97	\$7,911.00
86.000 Shs. Utilities Select Sector SPDR Fund (XLU)	\$5,850.10	\$6,087.98
75.000 Shs. Vanguard Dividend Appreciation Index Fund ETF Shares (VIG)	\$10,602.10	\$11,653.50
166.000 Shs. Vanguard FTSE Emerging Mkts Index Fund ETF Shares (VWO)	\$8,996.90	\$8,508.88
118.000 Shs. Vanguard Short-Term Corp Bond Index Fund ETF Shares (VCSH)	\$8,996.32	\$8,867.70
155.000 Shs. Vanguard Short-Term Treasury Index Fund ETF Shares (VGSH)	\$9,010.15	\$8,928.00
TOTAL INVESTMENT ACCOUNTS	\$137,843.51	\$145,147.55
TOTAL PROPERTY ON HAND	\$154,055.03	\$161,359.07

Not intended for tax purposes.

SCHEDULE F - CHANGES IN THE FORM OF ASSETS

Ryan Hernandez Special Needs Trust, Case No. ~~18774~~
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Account</u>	<u>Activity</u>	<u>Qty.</u>	<u>Asset</u>	<u>Carry Value</u>
TD Ameritrade #x3305 → Schwab #x2785					
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Amazon.com Inc	0.00
08/21/2022	TD Amer #x3305	Bought	65.000	Amazon.com Inc	8,755.44
10/05/2022	TD Amer #x3305	Sold	(65.000)	Amazon.com Inc	(8,755.44)
11/18/2022	TD Amer #x3305	Bought	69.000	Amazon.com Inc	8,876.82
01/18/2023	TD Amer #x3305	Bought	6.000	Amazon.com Inc	587.01
02/03/2023	TD Amer #x3305	Sold	(10.000)	Amazon.com Inc	(886.84)
09/15/2023	Schwab #x2785	Sold	(15.000)	Amazon.com Inc	(1,484.96)
09/30/2023	Schwab #x2785	End Bal.	50.000	Amazon.com Inc	4,672.23
11/01/2021	TD Amer #x3305	Beg. Bal.	42.600	Apple Inc	5,158.02
11/24/2021	TD Amer #x3305	Sold	(3.000)	Apple Inc	(368.49)
08/21/2022	TD Amer #x3305	Bought	3.000	Apple Inc	392.78
10/05/2022	TD Amer #x3305	Sold	(42.000)	Apple Inc	(5,182.35)
09/30/2023	Schwab #x2785	End Bal.	0.000	Apple Inc	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	ARK Innovation ETF	0.00
04/01/2022	TD Amer #x3305	Bought	88.000	ARK Innovation ETF	6,005.96
08/21/2022	TD Amer #x3305	Bought	17.000	ARK Innovation ETF	631.66
10/05/2022	TD Amer #x3305	Sold	(105.000)	ARK Innovation ETF	(6,637.62)
09/30/2023	Schwab #x2785	End Bal.	0.000	ARK Innovation ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	49.000	Consumer Staples Select Sector SPDR® Fund	3,525.06
11/24/2021	TD Amer #x3305	Bought	4.000	Consumer Staples Select Sector SPDR® Fund	291.31
03/15/2022	TD Amer #x3305	Sold	(3.000)	Consumer Staples Select Sector SPDR® Fund	(215.82)
04/01/2022	TD Amer #x3305	Sold	(2.000)	Consumer Staples Select Sector SPDR® Fund	(148.88)
10/08/2022	TD Amer #x3305	Sold	(48.000)	Consumer Staples Select Sector SPDR® Fund	(3,458.67)
09/15/2023	Schwab #x2785	Bought	119.000	Consumer Staples Select Sector SPDR® Fund	6,572.76
09/30/2023	Schwab #x2785	End Bal.	119.000	Consumer Staples Select Sector SPDR® Fund	6,572.76

Not Intended for tax purposes.

F-Changes in Form

SCHEDULE F - CHANGES IN THE FORM OF ASSETS

Hernandez Special Needs Trust, Case No. ~~2019-117~~

Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Account</u>	<u>Activity</u>	<u>Qty.</u>	<u>Asset</u>	<u>Carry Value</u>
11/01/2021	TD Amer #x3305	Beg. Bal.	72.000	Energy Select Sector SPDR® Fund	1,929.33
11/24/2021	TD Amer #x3305	Sold	(3.000)	Energy Select Sector SPDR® Fund	(75.28)
02/09/2022	TD Amer #x3305	Bought	40.000	Energy Select Sector SPDR® Fund	2,812.00
03/15/2022	TD Amer #x3305	Sold	(14.000)	Energy Select Sector SPDR® Fund	(381.23)
04/01/2022	TD Amer #x3305	Sold	(40.000)	Energy Select Sector SPDR® Fund	(1,015.52)
06/21/2022	TD Amer #x3305	Sold	(8.000)	Energy Select Sector SPDR® Fund	(222.54)
10/05/2022	TD Amer #x3305	Bought	75.000	Energy Select Sector SPDR® Fund	5,703.00
01/18/2023	TD Amer #x3305	Bought	1.000	Energy Select Sector SPDR® Fund	89.88
02/03/2023	TD Amer #x3305	Sold	(12.000)	Energy Select Sector SPDR® Fund	(544.70)
09/15/2023	Schwab #xc2785	Bought	5.000	Energy Select Sector SPDR® Fund	460.95
09/30/2023	Schwab #xc2785	End Bal.	118.000	Energy Select Sector SPDR® Fund	5,554.91
11/01/2021	TD Amer #x3305	Beg. Bal.	414.000	Financial Select Sector SPDR Fund	10,713.85
11/24/2021	TD Amer #x3305	Sold	(24.000)	Financial Select Sector SPDR Fund	(642.80)
02/09/2022	TD Amer #x3305	Bought	94.000	Financial Select Sector SPDR Fund	3,802.30
03/15/2022	TD Amer #x3305	Bought	23.000	Financial Select Sector SPDR Fund	848.17
04/01/2022	TD Amer #x3305	Sold	(122.000)	Financial Select Sector SPDR Fund	(3,235.00)
06/21/2022	TD Amer #x3305	Bought	59.000	Financial Select Sector SPDR Fund	1,823.89
10/05/2022	TD Amer #x3305	Bought	164.000	Financial Select Sector SPDR Fund	5,128.28
01/18/2023	TD Amer #x3305	Bought	14.000	Financial Select Sector SPDR Fund	504.77
02/03/2023	TD Amer #x3305	Sold	(397.000)	Financial Select Sector SPDR Fund	(11,855.24)
09/15/2023	Schwab #xc2785	Bought	32.000	Financial Select Sector SPDR Fund	1,110.72
09/30/2023	Schwab #xc2785	End Bal.	257.000	Financial Select Sector SPDR Fund	3,195.54
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Global X Artificial Intelligence & Technology ETF	0.00
09/15/2023	Schwab #xc2785	Bought	185.000	Global X Artificial Intelligence & Technology ETF	5,233.69
09/30/2023	Schwab #xc2785	End Bal.	185.000	Global X Artificial Intelligence & Technology ETF	5,233.69
11/01/2021	TD Amer #x3305	Beg. Bal.	50.000	Health Care Select Sector SPDR Fund	4,882.73
11/24/2021	TD Amer #x3305	Bought	7.000	Health Care Select Sector SPDR Fund	891.67
03/15/2022	TD Amer #x3305	Sold	(2.000)	Health Care Select Sector SPDR Fund	(187.31)
04/01/2022	TD Amer #x3305	Sold	(2.000)	Health Care Select Sector SPDR Fund	(187.31)
06/21/2022	TD Amer #x3305	Bought	2.000	Health Care Select Sector SPDR Fund	239.10
10/05/2022	TD Amer #x3305	Bought	3.000	Health Care Select Sector SPDR Fund	369.60
01/18/2023	TD Amer #x3305	Bought	4.000	Health Care Select Sector SPDR Fund	541.12

Not intended for tax purposes.

SCHEDULE F - CHANGES IN THE FORM OF ASSETS

~~Francis~~ Hernandez Special Needs Trust, Case No. ~~2019-107-108~~
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Account</u>	<u>Activity</u>	<u>Qty.</u>	<u>Asset</u>	<u>Carry Value</u>
02/03/2023	TD Amer #x3305	Sold	(6.000)	Health Care Select Sector SPDR Fund	(581.82)
09/15/2023	Schwab #x2785	Bought	5.000	Health Care Select Sector SPDR Fund	885.40
09/30/2023	Schwab #x2785	End Bal.	61.000	Health Care Select Sector SPDR Fund	6,498.28
11/01/2021	TD Amer #x3305	Beg. Bal.	358.000	Invesco Fundamental High Yield Corp Bond ETF	6,907.22
11/24/2021	TD Amer #x3305	Bought	169.000	Invesco Fundamental High Yield Corp Bond ETF	3,071.82
03/15/2022	TD Amer #x3305	Sold	(111.000)	Invesco Fundamental High Yield Corp Bond ETF	(2,141.82)
04/01/2022	TD Amer #x3305	Bought	81.000	Invesco Fundamental High Yield Corp Bond ETF	1,487.97
08/21/2022	TD Amer #x3305	Sold	(145.000)	Invesco Fundamental High Yield Corp Bond ETF	(2,797.82)
10/05/2022	TD Amer #x3305	Bought	3.000	Invesco Fundamental High Yield Corp Bond ETF	49.82
01/18/2023	TD Amer #x3305	Bought	34.000	Invesco Fundamental High Yield Corp Bond ETF	600.95
02/03/2023	TD Amer #x3305	Sold	(379.000)	Invesco Fundamental High Yield Corp Bond ETF	(7,178.84)
09/30/2023	Schwab #x2785	End Bal.	0.000	Invesco Fundamental High Yield Corp Bond ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	49.000	Invesco QQQ	8,057.67
03/15/2022	TD Amer #x3305	Bought	2.000	Invesco QQQ	656.60
04/01/2022	TD Amer #x3305	Sold	(5.000)	Invesco QQQ	(723.30)
06/21/2022	TD Amer #x3305	Bought	25.000	Invesco QQQ	6,788.00
10/05/2022	TD Amer #x3305	Sold	(25.000)	Invesco QQQ	(4,088.54)
01/18/2023	TD Amer #x3305	Bought	6.000	Invesco QQQ	1,678.26
02/03/2023	TD Amer #x3305	Sold	(7.000)	Invesco QQQ	(1,171.47)
06/18/2023	Schwab #x2785	Bought	5.000	Invesco QQQ	1,873.85
09/30/2023	Schwab #x2785	End Bal.	50.000	Invesco QQQ	13,072.07
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Invesco S&P Ultra Dividend Revenue ETF	0.00
10/05/2022	TD Amer #x3305	Bought	225.000	Invesco S&P Ultra Dividend Revenue ETF	8,721.00
01/18/2023	TD Amer #x3305	Bought	4.000	Invesco S&P Ultra Dividend Revenue ETF	183.33
02/03/2023	TD Amer #x3305	Sold	(27.000)	Invesco S&P Ultra Dividend Revenue ETF	(1,048.52)
09/15/2023	Schwab #x2785	Sold	(202.000)	Invesco S&P Ultra Dividend Revenue ETF	(7,867.81)
09/30/2023	Schwab #x2785	End Bal.	0.000	Invesco S&P Ultra Dividend Revenue ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	88.000	iShares Core International Aggregate Bond ETF	5,284.54
11/24/2021	TD Amer #x3305	Bought	106.000	iShares Core International Aggregate Bond ETF	5,844.84
03/15/2022	TD Amer #x3305	Sold	(106.000)	iShares Core International Aggregate Bond ETF	(5,836.84)

Not intended for tax purposes.

F-Changes in Form

SCHEDULE F - CHANGES IN THE FORM OF ASSETS
Hernandez Special Needs Trust, Case No. ~~DP-187-143~~
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Account</u>	<u>Activity</u>	<u>Qty.</u>	<u>Asset</u>	<u>Carry Value</u>
04/01/2022	TD Amer #x3305	Bought	21.000	iShares Core International Aggregate Bond ETF	1,089.89
08/21/2022	TD Amer #x3305	Sold	(37.000)	iShares Core International Aggregate Bond ETF	(2,040.18)
01/18/2023	TD Amer #x3305	Bought	13.000	iShares Core International Aggregate Bond ETF	636.35
02/03/2023	TD Amer #x3305	Bought	42.000	iShares Core International Aggregate Bond ETF	2,039.94
08/15/2023	Schwab #x2785	Sold	(11.000)	iShares Core International Aggregate Bond ETF	(806.54)
09/30/2023	Schwab #x2785	End Bal.	124.000	iShares Core International Aggregate Bond ETF	6,406.40
11/01/2021	TD Amer #x3305	Beg. Bal.	79.000	iShares Core MSCI Emerging Markets ETF	4,748.23
11/24/2021	TD Amer #x3305	Bought	24.000	iShares Core MSCI Emerging Markets ETF	1,495.20
02/09/2022	TD Amer #x3305	Sold	(47.000)	iShares Core MSCI Emerging Markets ETF	(2,791.43)
03/15/2022	TD Amer #x3305	Bought	4.000	iShares Core MSCI Emerging Markets ETF	211.20
04/01/2022	TD Amer #x3305	Sold	(3.000)	iShares Core MSCI Emerging Markets ETF	(183.45)
06/21/2022	TD Amer #x3305	Sold	(57.000)	iShares Core MSCI Emerging Markets ETF	(3,479.75)
09/30/2023	Schwab #x2785	End Bal.	0.000	iShares Core MSCI Emerging Markets ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	128.000	iShares Edge MSCI USA Momentum Factor ETF	13,829.28
11/24/2021	TD Amer #x3305	Bought	10.000	iShares Edge MSCI USA Momentum Factor ETF	1,901.47
02/14/2022	TD Amer #x3305	Bought	10.000	iShares Edge MSCI USA Momentum Factor ETF	1,679.30
03/15/2022	TD Amer #x3305	Sold	(22.000)	iShares Edge MSCI USA Momentum Factor ETF	(2,021.23)
04/01/2022	TD Amer #x3305	Sold	(11.000)	iShares Edge MSCI USA Momentum Factor ETF	(1,121.08)
08/21/2022	TD Amer #x3305	Bought	19.000	iShares Edge MSCI USA Momentum Factor ETF	2,518.69
10/05/2022	TD Amer #x3305	Sold	(89.000)	iShares Edge MSCI USA Momentum Factor ETF	(7,891.00)
01/18/2023	TD Amer #x3305	Bought	4.000	iShares Edge MSCI USA Momentum Factor ETF	582.72
02/03/2023	TD Amer #x3305	Sold	(6.000)	iShares Edge MSCI USA Momentum Factor ETF	(703.63)
08/15/2023	Schwab #x2785	Bought	5.000	iShares Edge MSCI USA Momentum Factor ETF	728.25
09/30/2023	Schwab #x2785	End Bal.	68.000	iShares Edge MSCI USA Momentum Factor ETF	9,581.03
11/01/2021	TD Amer #x3305	Beg. Bal.	67.000	iShares JPMorgan USD Emerg Mkts Bond ETF	7,484.02
11/24/2021	TD Amer #x3305	Sold	(67.000)	iShares JPMorgan USD Emerg Mkts Bond ETF	(7,484.02)
03/18/2022	TD Amer #x3305	Bought	67.000	iShares JPMorgan USD Emerg Mkts Bond ETF	6,594.12
04/01/2022	TD Amer #x3305	Bought	19.000	iShares JPMorgan USD Emerg Mkts Bond ETF	1,858.49
08/21/2022	TD Amer #x3305	Sold	(22.000)	iShares JPMorgan USD Emerg Mkts Bond ETF	(2,098.28)
10/05/2022	TD Amer #x3305	Bought	4.000	iShares JPMorgan USD Emerg Mkts Bond ETF	321.84
01/18/2023	TD Amer #x3305	Bought	4.000	iShares JPMorgan USD Emerg Mkts Bond ETF	351.24
02/03/2023	TD Amer #x3305	Bought	32.000	iShares JPMorgan USD Emerg Mkts Bond ETF	2,822.72
08/15/2023	Schwab #x2785	Sold	(4.000)	iShares JPMorgan USD Emerg Mkts Bond ETF	(381.14)
09/30/2023	Schwab #x2785	End Bal.	100.000	iShares JPMorgan USD Emerg Mkts Bond ETF	6,558.86

Not intended for tax purposes.

F-Changes in Form

SCHEDULE F - CHANGES IN THE FORM OF ASSETS
Hernandez Special Needs Trust, Case No. [REDACTED]
Accounting Period of 11/01/2021 to 09/30/2023

Date	Account	Activity	Qty.	Asset	Carry Value
11/01/2021	TD Amer #x3305	Beg. Bal.	61.000	iShares MSCI China ETF	5,176.46
11/24/2021	TD Amer #x3305	Sold	(61.000)	iShares MSCI China ETF	(5,176.46)
02/09/2022	TD Amer #x3305	Bought	66.000	iShares MSCI China ETF	5,977.92
03/15/2022	TD Amer #x3305	Bought	18.000	iShares MSCI China ETF	692.91
04/01/2022	TD Amer #x3305	Sold	(8.000)	iShares MSCI China ETF	(498.16)
06/21/2022	TD Amer #x3305	Sold	(4.000)	iShares MSCI China ETF	(248.08)
10/05/2022	TD Amer #x3305	Sold	(102.000)	iShares MSCI China ETF	(8,123.69)
09/30/2023	Schwab #x2785	End Bal.	0.000	iShares MSCI China ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	169.000	iShares U.S. Treasury Bond ETF	4,704.01
11/24/2021	TD Amer #x3305	Bought	169.000	iShares U.S. Treasury Bond ETF	4,169.47
03/15/2022	TD Amer #x3305	Sold	(38.000)	iShares U.S. Treasury Bond ETF	(991.80)
04/01/2022	TD Amer #x3305	Bought	66.000	iShares U.S. Treasury Bond ETF	1,844.06
06/21/2022	TD Amer #x3305	Sold	(113.000)	iShares U.S. Treasury Bond ETF	(3,158.99)
10/05/2022	TD Amer #x3305	Bought	5.000	iShares U.S. Treasury Bond ETF	114.45
01/18/2023	TD Amer #x3305	Bought	36.000	iShares U.S. Treasury Bond ETF	838.44
02/03/2023	TD Amer #x3305	Sold	(288.000)	iShares U.S. Treasury Bond ETF	(7,341.64)
09/30/2023	Schwab #x2785	End Bal.	0.000	iShares U.S. Treasury Bond ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Lockheed Martin Corp	0.00
10/05/2022	TD Amer #x3305	Bought	15.000	Lockheed Martin Corp	6,029.64
11/18/2022	TD Amer #x3305	Sold	(15.000)	Lockheed Martin Corp	(6,029.64)
02/03/2023	TD Amer #x3305	Bought	10.000	Lockheed Martin Corp	4,608.93
09/15/2023	Schwab #x2785	Sold	(10.000)	Lockheed Martin Corp	(4,608.93)
09/30/2023	Schwab #x2785	End Bal.	0.000	Lockheed Martin Corp	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Microsoft Corp	0.00
10/05/2022	TD Amer #x3305	Bought	21.000	Microsoft Corp	5,063.23
01/18/2023	TD Amer #x3305	Bought	4.000	Microsoft Corp	946.22
02/03/2023	TD Amer #x3305	Sold	(3.000)	Microsoft Corp	(723.32)
09/15/2023	Schwab #x2785	Bought	4.000	Microsoft Corp	1,344.96
09/30/2023	Schwab #x2785	End Bal.	26.000	Microsoft Corp	6,894.11

Not Intended for tax purposes.

F-Changes in Form

SCHEDULE F - CHANGES IN THE FORM OF ASSETS
Hernandez Special Needs Trust, Case No. ~~187145~~
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Account</u>	<u>Activity</u>	<u>Qty.</u>	<u>Asset</u>	<u>Carry Value</u>
11/01/2021	TD Amer #x3305	Beg. Bal.	63.000	PIMCO Active Bond Exchange-Traded Fund	6,853.01
11/24/2021	TD Amer #x3305	Bought	6.000	PIMCO Active Bond Exchange-Traded Fund	655.86
03/15/2022	TD Amer #x3305	Sold	(4.000)	PIMCO Active Bond Exchange-Traded Fund	(432.69)
04/01/2022	TD Amer #x3305	Bought	14.000	PIMCO Active Bond Exchange-Traded Fund	1,425.08
08/21/2022	TD Amer #x3305	Sold	(24.000)	PIMCO Active Bond Exchange-Traded Fund	(2,693.17)
10/05/2022	TD Amer #x3305	Bought	2.000	PIMCO Active Bond Exchange-Traded Fund	180.18
01/18/2023	TD Amer #x3305	Bought	7.000	PIMCO Active Bond Exchange-Traded Fund	652.78
02/03/2023	TD Amer #x3305	Bought	29.000	PIMCO Active Bond Exchange-Traded Fund	2,718.48
09/15/2023	Schwab #xc2785	Sold	(3.000)	PIMCO Active Bond Exchange-Traded Fund	(324.82)
09/30/2023	Schwab #xc2785	End Bal.	90.000	PIMCO Active Bond Exchange-Traded Fund	9,131.97
11/01/2021	TD Amer #x3305	Beg. Bal.	290.000	SPDR Portfolio S&P 500 High Dividend ETF	8,994.61
11/24/2021	TD Amer #x3305	Bought	25.000	SPDR Portfolio S&P 500 High Dividend ETF	1,030.18
03/15/2022	TD Amer #x3305	Sold	(33.000)	SPDR Portfolio S&P 500 High Dividend ETF	(848.11)
04/01/2022	TD Amer #x3305	Sold	(5.000)	SPDR Portfolio S&P 500 High Dividend ETF	(143.85)
08/21/2022	TD Amer #x3305	Bought	7.000	SPDR Portfolio S&P 500 High Dividend ETF	275.68
10/05/2022	TD Amer #x3305	Sold	(284.000)	SPDR Portfolio S&P 500 High Dividend ETF	(9,210.89)
09/30/2023	Schwab #xc2785	End Bal.	0.000	SPDR Portfolio S&P 500 High Dividend ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	298.000	U.S. Global Jets ETF	5,738.19
11/24/2021	TD Amer #x3305	Sold	(298.000)	U.S. Global Jets ETF	(5,738.19)
03/15/2022	TD Amer #x3305	Bought	307.000	U.S. Global Jets ETF	5,657.12
04/01/2022	TD Amer #x3305	Sold	(20.000)	U.S. Global Jets ETF	(368.54)
08/21/2022	TD Amer #x3305	Sold	(287.000)	U.S. Global Jets ETF	(5,288.58)
09/30/2023	Schwab #xc2785	End Bal.	0.000	U.S. Global Jets ETF	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Utilities Select Sector SPDR Fund	0.00
10/05/2022	TD Amer #x3305	Bought	144.000	Utilities Select Sector SPDR Fund	9,747.35
01/18/2023	TD Amer #x3305	Bought	19.000	Utilities Select Sector SPDR Fund	1,353.28
02/03/2023	TD Amer #x3305	Sold	(89.000)	Utilities Select Sector SPDR Fund	(8,024.40)
09/15/2023	Schwab #xc2785	Bought	12.000	Utilities Select Sector SPDR Fund	773.87
09/30/2023	Schwab #xc2785	End Bal.	86.000	Utilities Select Sector SPDR Fund	5,850.10

Not Intended for tax purposes.

F-Changes in Form

SCHEDULE F - CHANGES IN THE FORM OF ASSETS

Hernandez Special Needs Trust, Case No. ~~20-1254~~
Accounting Period of 11/01/2021 to 09/30/2023

<u>Date</u>	<u>Account</u>	<u>Activity</u>	<u>Qty.</u>	<u>Asset</u>	<u>Carry Value</u>
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Vanguard Div Appreciation Index Fund ETF Shs	0.00
10/05/2022	TD Amer #x3305	Bought	74.000	Vanguard Div Appreciation Index Fund ETF Shs	10,285.99
01/18/2023	TD Amer #x3305	Bought	5.000	Vanguard Div Appreciation Index Fund ETF Shs	781.55
02/03/2023	TD Amer #x3305	Sold	(8.000)	Vanguard Div Appreciation Index Fund ETF Shs	(1,112.00)
09/15/2023	Schwab #xx2785	Bought	4.000	Vanguard Div Appreciation Index Fund ETF Shs	846.56
09/30/2023	Schwab #xx2785	End Bal.	75.000	Vanguard Div Appreciation Index Fund ETF Shs	10,602.10
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Vanguard FTSE Emerg Mkts Index Fund ETF Shs	0.00
02/03/2023	TD Amer #x3305	Bought	275.000	Vanguard FTSE Emerg Mkts Index Fund ETF Shs	11,591.25
09/15/2023	Schwab #xx2785	Sold	(109.000)	Vanguard FTSE Emerg Mkts Index Fund ETF Shs	(4,594.35)
09/30/2023	Schwab #xx2785	End Bal.	166.000	Vanguard FTSE Emerg Mkts Index Fund ETF Shs	6,996.90
11/01/2021	TD Amer #x3305	Beg. Bal.	149.000	Vanguard FTSE Europe Index Fund ETF Shares	7,963.42
11/24/2021	TD Amer #x3305	Bought	12.000	Vanguard FTSE Europe Index Fund ETF Shares	818.46
02/09/2022	TD Amer #x3305	Sold	(161.000)	Vanguard FTSE Europe Index Fund ETF Shares	(8,801.86)
09/30/2023	Schwab #xx2785	End Bal.	0.000	Vanguard FTSE Europe Index Fund ETF Shares	0.00
11/01/2021	TD Amer #x3305	Beg. Bal.	0.000	Vanguard Sht-Term Corp Bond Index Fd ETF Shs	0.00
02/03/2023	TD Amer #x3305	Bought	123.000	Vanguard Sht-Term Corp Bond Index Fd ETF Shs	9,377.52
09/15/2023	Schwab #xx2785	Sold	(5.000)	Vanguard Sht-Term Corp Bond Index Fd ETF Shs	(381.20)
09/30/2023	Schwab #xx2785	End Bal.	118.000	Vanguard Sht-Term Corp Bond Index Fd ETF Shs	8,996.32
11/01/2021	TD Amer #x3306	Beg. Bal.	0.000	Vanguard Short-Term Treas Index Fd ETF Shares	0.00
02/03/2023	TD Amer #x3306	Bought	161.000	Vanguard Short-Term Treas Index Fd ETF Shares	9,358.83
09/15/2023	Schwab #xx2785	Sold	(6.000)	Vanguard Short-Term Treas Index Fd ETF Shares	(348.78)
09/30/2023	Schwab #xx2785	End Bal.	155.000	Vanguard Short-Term Treas Index Fd ETF Shares	9,010.15

Not Intended for tax purposes.

F-Changes in Form